



# WISCONSIN REGULATORY DIGEST

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PSYCHOLOGY EXAMINING BOARD

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## Supervision Alert

Pre-doctoral supervision and post-doctoral supervision are required for licensure as a psychologist in Wisconsin. The expectation is that supervision provides an essential role in the final preparation for the independent practice of psychology. This role extends beyond the specifics of meeting the legal requirements. It is recognized that supervision is a complex process that changes with each supervisory relationship and evolves over time as the trainee develops competencies. Supervised experience must not only prepare a psychologist for employment subsequent to licensure but, in combination with the educational foundation, must also establish a framework for a professional career as a psychologist. The nature and quality of supervision has an impact on the development of professional identity and commitment to ethical behavior.

Concern for protection of the public is paramount. The supervisor has enormous responsibility not only to establish a training

program that meets the needs of the trainee and the consumer of psychological services, but also to serve as a model of the ethical and competent professional psychologist. The Wisconsin Psychology Examining board places significant trust in supervisors' judgement that a candidate for licensure is competent to serve the public. We thank you for your contribution. And we alert you to your continued commitment to ensure that supervision meets the requirements for licensure in Wisconsin.

The supervised experience requirements are detailed under Psy 2.09(3) which not only outlines the hours but also the nature of the supervisory experience. The board expects that Wisconsin licensees who provide supervision for applicants for licensure are competent to do so. Competent supervision of trainees during their pre and post-doctoral supervised experience includes overseeing that the licensing requirements are met.

The following are a supervisor's minimal responsibilities:

- Oversee the integrity and quality of the training and the trainee's psychological work.
- Have sufficient knowledge of the supervisee's clients to ensure effective service.

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- Assume legal, ethical, and professional responsibility for all services rendered.
- Be able to interrupt or stop the trainee from practicing.
- Ensure the trainee has the appropriate preparation for work performed.
- Provide the trainee with a wide range of experiences, activities, clients, and colleagues.
- Provide quarterly written evaluations, reporting progress as well as strengths and weaknesses.

The supervisor must:

- Have adequate training, knowledge and skill to provide the services the trainee undertakes.
- Have had at least 3 years of post-licensure experience.
- Have had training or experience in supervision of psychological work.

**Competent supervision of pre- and post-doctoral trainees is essential for the protection of the supervisee and the consumers of their services during training and as licensed psychologists.**

### **Supervisory Responsibilities**

The Psychology Examining Board has repeatedly publicized the responsibilities of supervisors and the Board continues to receive applications that show that supervisors have not fulfilled their responsibilities, especially by failing to prepare written quarterly evaluations and failing to ensure their ability to intervene if necessary. By this digest article, the Board is placing all licensed Wisconsin psychologists on notice that, for all applications received following the publication of this digest, the Board will not only deny an application for inadequate supervision, but it will refer for disciplinary enforcement any failure to exercise supervisory responsibility.

### **Notice to Supervisors of Candidates for Licensure**

Please alert your supervisees that many applicants appear to be delaying their completion of the Examination for the Professional Practice of Psychology (EPPP). This could lead to a surge of requests for EPPP administration that exceed Prometric spaces. It is highly recommended that candidates take the exam promptly after receiving approval.

### **Continuing Professional Education for Psychologists**

All licensed psychologists are required, as a condition of their biennial license renewal to complete at least 40 hours of board-approved continuing education. The only exception is for those who have obtained their initial licensure during the current biennium. This requirement is contained in section Psy 4.02 of the Administrative Rules, which is printed in the Psychology Code Book (available from the department) and can also be found via the department's web page or at <http://www.legis.state.wi.us/rsb/code/psy/psy004.pdf>

### CPE Frequently Asked Questions

*How many credits are required for Ethics/Jurisprudence? As of October 1, 2001, only 6 credits of Ethics/Jurisprudence is required in fulfilling the Continuing Education requirement for renewal.*

*If a program is titled, "Ethics", will it automatically count? Not necessarily. Be sure to verify that the program content, title, and learning objectives are specific to ethics, risk management and jurisprudence education! The key element is that the program contents must improve your practice of psychology in the public interest. This requirement is centered on consumer protection. (E.G., child abuse reporting law as it relates to your practice, understanding ethical decision making, adhering to standards of practice regarding record keeping, etc.) Also, the fact that a program has been approved for CPE credit does NOT mean that it is automatically approved for the ethics component of your requirement.*

*What is considered acceptable content for CPE? Continuing professional education is designed to update professional skills and develop new areas of expertise. All CPE is expected to be at the level of post-licensure professional training.*

*Will the August, 2002 APA Convention in Chicago count as board approved CPE? Not necessarily. Only APA Approved CPE will count. (The APA has included in the program far more CPE this year than ever before.) Convention programs that are not designated as CPE will not meet the Wisconsin Psychology Board' rules and, therefore, will not count toward the required 40 credits per biennium.*

## NOTICE: Continuing Education and APA Convention in Chicago

The APA Convention will be held August 22-25, 2002 in Chicago this year. There will be a more CE friendly format; however, it is important to remember the following:

Only programs that are identified as offering CPE credit by the APA Approved Sponsor Approval System will meet the Wisconsin Requirement for continuing education credits. You should receive a certificate that

indicates the program has been sponsored by the APA continuing education sponsor approval system.

There is a maximum of 20 credits that are allowed for any one program, event, workshop, course, convention, conference, class, or experience. Therefore, no matter how many CPEs you earn at the APA pre-convention and convention, you will only be able to use a maximum of 20 credits.

1999-2001 Biennium CE Audit Summary as of May, 2002 by Type of Audit Category

|                           | Audited Licensed Psychologists |         | Audited Other* |         | Audited PPSP |         | Audited Other* |         | Audited Licensing Board Members |         | TOTAL AUDITED |         |
|---------------------------|--------------------------------|---------|----------------|---------|--------------|---------|----------------|---------|---------------------------------|---------|---------------|---------|
|                           | Number                         | Percent | Number         | Percent | Number       | Percent | Number         | Percent | Number                          | Percent | Number        | Percent |
| Number Audited            | 117                            |         | 22             |         | 9            |         | 2              |         | 4                               |         | 154           |         |
| Total Met the Requirement | 100                            | 85.5%   | 21             | 95.5%   | 7            | 77.8%   | 2              | 100%    | 4                               | 100%    | 134           | 87.0%   |
| Handled by Enforcement    | 17                             | 14.5%   | 1              | 4.5%    | 2            | 22.2%   | 0              | 0%      | 0                               |         | 20            | 13.0%   |
| Informal Board Action     | 12                             |         |                |         | 1            |         |                |         |                                 |         | 13            | 65.0%   |
| Formal Board Action       | 1                              |         | 0              |         |              |         |                |         |                                 |         | 1             | 5.0%    |
| Not Resolved              | 4                              |         | 1              |         | 1            |         | 0              |         |                                 |         | 6             | 30.0%   |

\*Those who failed to meet 1997-99 CE requirements + current disciplinary cases minus nonrenewals

## Psychologists Working in Managed Care Organizations Must be Licensed

Don Crowder, Ph.D.

The Psychology Examining Board reminds all psychologists that no person may engage in the practice of psychology, or represent themselves as a psychologist, without a license issued by the examining board unless the individual is practicing within the scope of another license or certification granted by the State of Wisconsin. This includes individuals functioning in a managed care organization who either represent themselves as a psychologist, or engage in activities that would be considered to be practicing psychology.

An individual who reviews clinical information, including presenting problems and diagnosis, who then renders a decision and makes a recommendation regarding the necessary evaluation and treatment to ameliorate that condition, is engaging in the practice of psychology.

If the psychological services are provided to a patient within the State of Wisconsin, even though the psychologist may be located outside of Wisconsin, the psychologist would be considered to be practicing psychology within the State and therefore a license issued by the Wisconsin Psychology Examining Board would be required. The only exception would be if the psychologist

meets the requirements for temporary practice as specified in *Wisconsin Statutes 455.03*. These requirements include an equivalent license as a psychologist from another state, offering services in the state for no more than 60 working days in a year, and notifying the examining board if the extent of the practice of psychology in the state exceeds 20 working days in a year.

Although the *Regulatory Digest* may not reach unlicensed individuals who are practicing psychology in managed care settings, licensed psychologists are likely to encounter these individuals and can take appropriate steps to ensure that violations do not continue. If it appears appropriate, a psychologist may attempt informal resolution by bringing it to the attention of the individual who is in violation. If the situation does not appear appropriate for informal resolution, the psychologist may take formal action and file a complaint with the Department of Regulation and Licensing, Division of Enforcement.

## CPQ Program to Facilitate Mobility

The Association of State and Provincial Psychology Boards (ASPPB)'s program of individual certification designed to facilitate mobility for psychologists licensed at the doctoral level in a state, province or territory in the U.S. or Canada has been accepted by an increasing

number of licensing boards as evidence of eligibility for psychology licensure in their jurisdictions.

ASPPB issues a Certificate of Professional Qualification in Psychology (CPQ) to licensed psychologists who meet standards of educational preparation, supervised experience, and examination performance, and who have practiced for a minimum of five years and have no history of disciplinary actions. Through the CPQ program, ASPPB hopes to improve the process for licensing psychologists already credentialed in one jurisdiction and ease the burdens on psychology licensing boards faced with regulating an increasingly mobile profession.

If you have questions, or to request an application, send e-mail to [cpq@asppb.org](mailto:cpq@asppb.org) or write CPQ, c/o ASPPB, P.O. Box 4389, Montgomery, AL 36103. More information is available on the ASPPB web site at <http://www.asppb.org> (See map on next page.)

#### **Psychologists Wishing to Expand Intended Practice**

As you are all aware, all licensed psychologists must have appropriate education, training and supervised experience in their areas of practice. When a licensee wishes to expand their practice areas after obtaining additional education and training, they must document the supervised experiences and the consultation/supervision obtained, along with information about the number of supervised hours spent in each new practice area.

That information should be submitted to the Board along with the supervisor's attestation that the licensee is competent to provide services independently in the new area.

#### **Disciplinary Actions**

##### **STEVEN R STEIN PHD**

##### **MADISON WI**

##### **SUSPENDED/COSTS**

Had sexual intimacies with a client. Suspend for one-year effective 1/19/2002. Costs. Psy 3.02(2), Wis. Admin. Code. Case #LS0002231PSY

##### **MICHAEL A. DELAIN PHD**

##### **APPLETON WI**

##### **SURRENDER**

Convicted of sexual exploitation by therapist, a crime the circumstances of which substantially relate to the circumstances of the professional practice of psychology, for his April 25, 2001 conduct; for his May 2, 2001 conduct; and for his June 7, 2001 conduct. Effective 5/22/2002. Sec. 455.09(1)(g), Wis. Stats. Sec. Psy 5.01 (5), Wis. Admin. Code. Case #LS0205221PSY

##### **GARY MICHAEL MAJOR PHD**

##### **WEST ALLIS WI**

##### **REPRIMAND**

Failed to avoid dual relationships. His practice will be supervised for a period of not less than 6 months. Effective 1/23/2002. Psy 5.02(17), Wis. Admin. Code. Case #LS0201232PSY

##### **JAMES A DICKERSON PHD**

##### **SHERIDAN WY**

##### **LIMITED/REPRIMAND**

Had his application for a license to practice psychology in the state of Wyoming denied because he did not meet the educational requirements under Wyoming law and Board rules and regulations. Also, by only obtaining 6 of the required 8 contact hours of board-approved continuing education in ethics during the biennium immediately preceding his application for the renewal of his license for the 10/1/1999-9/30/2001 biennium. Effective 5/22/2002. Sec. 455.09(1)(g), Wis. Stats. Psy 5.01(28), Wis. Admin. Code. Case #LS0205222PSY

##### **MILTON N SILVA PHD**

##### **MILWAUKEE WI**

##### **REPRIMAND/COSTS**

Failed to obtain 40 contact hours of board-approved continuing education during the biennium immediately preceding his application for renewal of his license for the 10/1/99 through 9/30/2001 biennium. Signed his license renewal form stating that he had completed the required continuing education when in fact he had not. Pay costs of \$450.00. Effective 2/27/2002. Sec. 455.09(1)(d),(g), Wis. Stats. Psy 5.01(18),(27), Wis. Admin. Code. Case #LS0202273PSY

##### **DONALD J PLATNER MS**

##### **FOX POINT WI**

##### **SURRENDER**

In August, 2001, was charged with one count of sexual exploitation by therapist, a Class C felony. This count alleged that from March, 1992 to March, ongoing therapist-client relationship. Entered a plea of no contest and was convicted. Effective 3/27/2002. Sec. 455.09(1)(g), Wis. Stats. Psy 5.01(5), Wis. Admin. Code. Case #LS0203271PSY

##### **STEVEN V SCHNEIDER PHD**

##### **GREENDALE WI**

##### **REPRIMAND/LIMITED**

Violated 1999, he had sexual contact with a patient during the a prior order of the board in that he relapsed to use of narcotic analgesics and psychostimulants without appropriate medical supervision. The summary suspension of his license is ended. His license, effective immediately, is limited until at least October 1, 2006. Sec. 455.09(1), Wis. Stats. Case #LS0112171PSY





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## TELEPHONE DIRECTORY -- QUICK KEYS

To contact the Department, just dial (608) 266-2112, then enter the Quick Key numbers below for the assistance you need:

|                                                |             |
|------------------------------------------------|-------------|
| To request a PSYCHOLOGY application:           | press 1-1-3 |
| To check the status of a pending application:  | press 1 - 2 |
| To discuss application questions:              | press 1 - 3 |
| To discuss temporary license questions:        | press 1 - 3 |
| To renew or reinstate a permanent license:     | press 1 - 4 |
| To renew or reinstate a permanent license:     | press 2 - 1 |
| To renew a temporary license:                  | press 2 - 2 |
| To obtain proof of licensure to another state: | press 3 - 1 |
| To find out if a person is licensed:           | press 3 - 2 |
| To file a complaint on a license holder:       | press 8     |
| To check the status of complaints:             | press 8     |
| For all other licensing questions:             | press 1 - 3 |

## VERIFICATIONS

Verifications are now available online at [www.drl.state.wi.us](http://www.drl.state.wi.us). On the Department Web site, please click on "License Lookup". If you do not use the online system, all requests for verification of licenses/credentials must be submitted in writing. There is no charge for this service. Requests should be sent to the Department address or may be faxed to (608) 261-7083 - ATTENTION: VERIFICATIONS. Requests for endorsements to other states must be made in writing - include \$10 payable to the Department.

## 2002 PSYCHOLOGY BOARD MEETINGS:

June 26, July 31, September 11,  
October 9, November 13, December 6.

## DID YOU KNOW THAT YOU CAN ACCESS MOST INFORMATION ON THE DEPARTMENT OF REGULATION & LICENSING WEB SITE?

Visit the Department's Web site at

[www.drl.state.wi.us](http://www.drl.state.wi.us)

Send comments to: [web@drl.state.wi.us](mailto:web@drl.state.wi.us)

## CHANGE OF NAME OR ADDRESS?

Please photocopy the mailing label of this digest, make changes in name or address, and return it to the Department. Confirmation of changes are not automatically provided. **WIS. STATS. S. 440.11** **ALLOWS FOR A \$50 PENALTY TO BE IMPOSED WHEN CHANGES ARE NOT REPORTED WITHIN 30 DAYS.**

## WISCONSIN STATUTES AND CODE

Copies of the Wisconsin Statutes and Administrative Code relating to the Practice of Psychology can be ordered through the Board Office. Include your name, address, county and a check payable to the Department of Regulation and Licensing in the amount of \$5.28. The latest edition of the Code Book is dated January 2002.